

PATENT  
Customer No. 22,852  
Attorney Docket No. 5725.0570-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
**Nathalie MOUGIN** ) Prior Group Art Unit: 1617  
)  
Application No.: Unassigned ) Prior Examiner: L. Wells  
(Divisional Application of Application No. )  
09/544,655, filed April 5, 2000) )  
)  
Filed: September 8, 2003 )  
)  
For: COMPOSITION COMPRISING )  
POLYMERS HAVING A STAR )  
STRUCTURE, THE POLYMERS, AND )  
THEIR USE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents were previously submitted or cited by the Examiner in prior Application No. 09/544,655, filing date April 5, 2000, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form. Any documents not previously submitted or cited by the Examiner in the prior application, are enclosed with

this Information Disclosure Statement (see items 23, 26, 27, 32, 33, 34 listed on the PTO Form 1449).

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Additionally, Applicant would like to bring to the Examiner's attention the following copending applications, which all relate to similar subject matter:

1. **Title:** COMPOSITION COMPRISING POLYMERS  
HAVING A STAR STRUCTURE, THE  
POLYMERS, AND THEIR USE  
**By:** Nathalie MOUGIN  
**Filed:** May 7, 2002  
**U.S. Application No.:** 10/139,530  
**Attorney Docket No.:** 05725.0564-01
2. **Title:** COMPOSITION COMPRISING POLYMERS  
HAVING A STAR STRUCTURE, THE  
POLYMERS, AND THEIR USE  
**By:** Nathalie MOUGIN  
**Filed:** January 17, 2003  
**U.S. Application No.:** 10/345,977  
**Attorney Docket No.:** 05725.0565-01
3. **Title:** COMPOSITION COMPRISING POLYMERS  
HAVING A STAR STRUCTURE, THE  
POLYMERS, AND THEIR USE  
**By:** Nathalie MOUGIN  
**Filed:** September 20, 2002  
**U.S. Application No.:** 10/247,362  
**Attorney Docket No.:** 05725.0571-01

Applicant notes that some of the co-pending applications listed on the Form PTO-1449 were previously submitted with and listed on the Information Disclosure Statement filed in the parent application, but were not listed on a Form PTO-1449.

Accordingly, Applicant has listed the co-pending applications on the attached Form PTO-1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the present application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 8, 2003

By: 

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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.: 5725.0570-01	Appln. No.: Divisional Application of 09/544,655, filed 4/5/00
Applicant: Nathalie MOUGIN	
Filing Date: September 8, 2003	Group: 1617

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	1	3,907,984	09/1975	Calvert et al.			
	2	4,659,783	04/1987	Spinelli	525	293	
	3	5,221,534	06/1993	DesLauriers et al.	424	78.03	
	4	5,310,807	05/1994	Antonelli et al.	525	286	
	5	5,363,813	11/1994	Antonelli et al.	525	286	
	6	5,371,147	12/1994	Spinneli et al.	525	288	
	7	5,525,636	06/1996	Henn et al.	521	59	
	8	5,527,524	06/1996	Tomalia et al.	424	1.33	
	9	5,552,491	09/1996	Mishra et al.	525	299	
	10	5,804,664	09/1998	Kennedy et al.			
	11	5,807,937	09/1998	Matyjaszewski et al.	526	135	
	12	5,849,278	12/1998	Piot et al.	424	70.7	
	13	5,900,464	05/1999	Letchford et al.	525	94	
	14	5,919,442	07/1999	Yin et al.	424	78.18	
	15	5,986,020	11/1999	Campbell et al.	526	64	
	16	6,001,342	12/1999	Foresteir et al.	424	76.1	
	17	6,013,735	01/2000	Mishra et al.	525	299	
	18	6,024,948	02/2000	Samain et al.	424	70.16	
	19	6,090,902	07/2000	Kuo et al.	526	279	
	20	6,113,882	09/2000	Mougin et al.	424	47	
	21	6,124,411	09/2000	Matyjaszewski et al.	526	111	
	22	6,132,736	10/2000	Mellul et al.	424	401	
	23	6,139,827	10/2000	Cohen et al.	424/70	16	
	24	6,150,468	11/2000	Schoenberg et al.	525	222	
	25	6,221,991	04/2001	Letchford et al.	526	303.1	
	26	6,476,124	11/2002	Mougin	525	64	

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	27	6,552,146	04/2003	Mougin	526	319	

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	28	DE 43 28 004	Feb 23, 1995	Germany			
	29	DE 196 02 540	Jul 31, 1997	Germany			
	30	WO 86/00626	Jan 30, 1986	PCT			
	31	WO 97/18247	May 22, 1997	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
32	Co-pending Application No. 10/139,530; Attorney Docket No. 05725.0564-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: May 7, 2002	
33	Co-pending Application No. 10/345,977; Attorney Docket No. 05725.0565-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: January 17, 2003	
34	Co-pending Application No. 10/247,362; Attorney Docket No. 05725.0571-01 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: September 20, 2002	
35	Co-pending Application No. 09/544,394; Attorney Docket No. 05725.0568 Title: COMPOSITION COMPRISING POLYMERS HAVING A STAR STRUCTURE, THE POLYMERS, AND THEIR USE Inventors: Nathalie MOUGIN U.S. Filing Date: April 5, 2000	
36	Seiya Kobatake et al., "Synthesis of Nitroxy-Functionalized Polybutadiene by Anionic Polymerization Using a Nitroxy-Functionalized Terminator", Macromolecules, Vol. 30, No. 14, July 14, 1997, pp. 4238-4241.	

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Applicant: Nathalie MOUGIN	
Filing Date: September 8, 2003	Group: 1617

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	37	English language Derwent Abstract of DE 43 28 004					
	38	English language Derwent Abstract of DE 196 02 540.					
Examiner				Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Form PTO 1449				Patent and Trademark Office - U.S. Department of Commerce			

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